

## Introduction

Designing a phase II clinical trial for the evaluation and validation of a biomarker-guided personalized targeted therapy can be challenging.

We outlined two design considerations illustrated by an example named TTAML (targeted therapy for acute myeloid leukemia) trial.

## Background

The TTAML trial evaluates five widely used FDA approved drugs for AML patients.

*Goal* of the trial: to validate the clinical utility of a newly developed in-vitro screening technique for personalized drug selection based on drug sensitivity assay for AML patients with identifiable targets of tyrosine kinases.

*Primary objective*: to evaluate whether receiving a sensitive drug brings more therapeutic benefits than receiving a non-sensitive drug.

*Primary endpoint*: treatment response, a binary variable indicating whether the reduction in white blood cell counts is more than 25% from the baseline in 30 days.

Note:

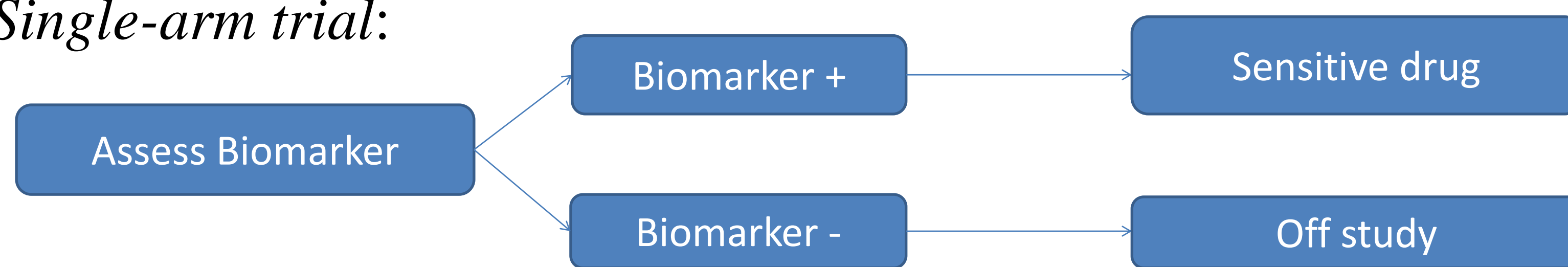
-- Each patient has it's own set of "sensitive" and "non-sensitive" drugs.

-- The response rate may differ for the 5 drugs, and for the same drug depending on its "sensitivity" to the patient.

## Design Considerations

A: Single-arm or randomized trial?

*Single-arm trial*:

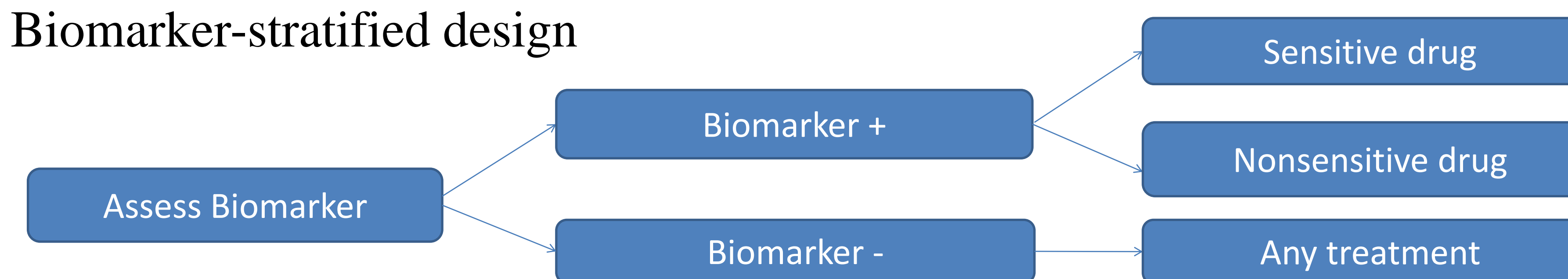


Reasons that favor single-arm trial:

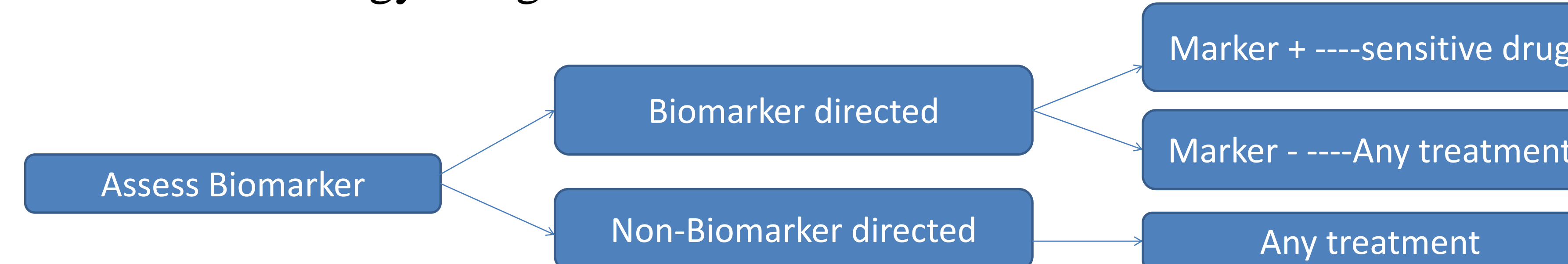
- Ethical consideration: (Physician's opinion) the assay-matched targeted therapy should be at least no worse than the tradition standard treatment.
- Sample size consideration: requires relatively a small sample size compared with a randomized trial.

*Randomized trial*:

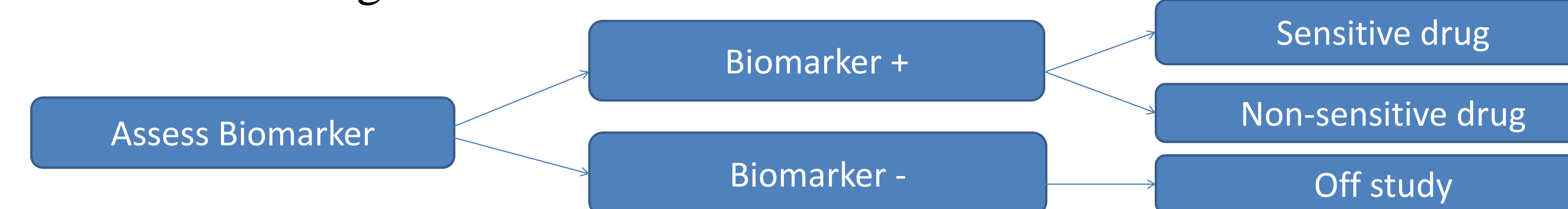
Biomarker-stratified design



Biomarker-strategy design



Enrichment design



Reasons that favor a randomized trial:

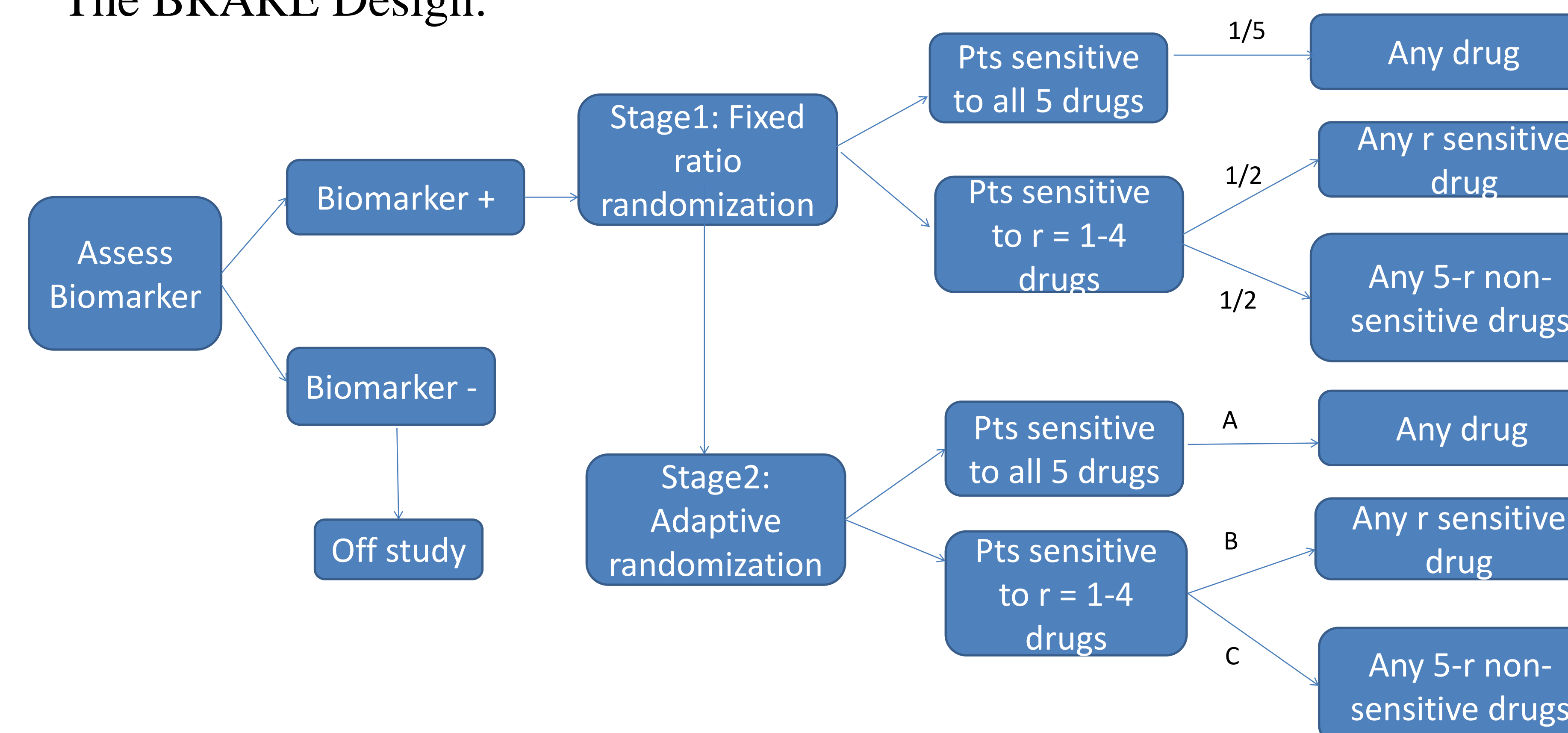
- Statistically more reliable than a single-arm trial.
- Does not rely on historical data (which we lack) for the treatment effect for biomarker+ patients who have received non-sensitive treatment.

Methods for making choice: Discussion between physicians and statisticians.

**Final Choice:** A two-stage Bayesian response-based adaptive randomization enrichment(BRARE) design

- BRARE design serves as a compromise about the ethical concerns and statistical concerns.
- It assigns more patients to the subgroup with more favorable interim results, thus is ethically more desirable than a balanced randomized trial.
- It does not rely on historical information, thus can effectively test our hypothesis.
- The hierarchical Bayesian model allows us to borrow power across strata.
- An early stopping rule can be enforced for the sequential monitoring.

The BRARE Design:



B: How to determine the adaptive allocation ratios A, B, and C?

Six different allocation rules were considered:

- BRAR-01 : Allocation ratio is proportional to the estimated response rate.

- BRAR-02 : Allocation ratio proportional to

$$\Pr(p_j > p_{j'}, j' \in \{1, \dots, J \mid j' \neq j\}).$$

- BRAR-11: Allocation ratio partially follows RSIHR ratio.

$$A: \text{same as BRAR-02}; B: \frac{\sqrt{p_s}}{\sqrt{p_s} + \sqrt{p_{ns}}} \quad C: \frac{\sqrt{p_{ns}}}{\sqrt{p_s} + \sqrt{p_{ns}}}$$

- BRAR-12: Allocation ratio using

$$\frac{[\Pr(p_s > p_{ns})]^c}{[\Pr(p_s > p_{ns})]^c + [\Pr(p_s < p_{ns})]^c} \quad \text{where } c = 1/2.$$

- BRAR-14: Allocation ratio the same as BARA-12 except for  $c = n/2N$  (as proposed by Thall and Wathen).

- BRAR-16 : Apply doubly adaptive biased coin design (DBCD) to BRAR-12.

Methods for making choice: Broadly evaluate the performance (Probability of assigning patients to more effective treatment in stage 2, Power and Type I error rate) for all six allocation rules in a simulation study. Pick the allocation rule with best performance.

**Final Choice:** BRAR-12

- It has good power with type I error rate controlled within 5% for a total of 150 patients, 30 in the stage 1.
- Its performance is robust to the number of sensitive drugs a patient may have.
- Its probability of assigning patients to the more promising group is much higher than a traditional randomized trial with equal allocation.

## Conclusion

The design considerations largely depends on the primary objective and available resources for a clinical trial.

An interactive discussion between clinical researchers and physicians is crucial in making important decisions.

The performance of different adaptive allocation ratio can be quite different. Simulation is a powerful tool in making choice of adaptive randomization ratio for the adaptive stage.

**Acknowledgement:**

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